



Lic # 0L01154

Pension Trust/ERISA Application

For Non-Union Employee Welfare and Pension Benefit Plans Subject to ERISA.

Plan Name _____

Plan Sponsor _____
(The Plan Sponsor is the organization or business that created and maintains the plan providing retirement or other benefits for its employees.)

Business Address _____

Plan Assets \$ _____ \$ _____ Qualified \$ _____ Non-qualified**

**If non-qualified assets are greater than \$25,000, please provide a breakdown/schedule of those assets.

Do you contract with an independent, professional plan administrator/consultant? _____ Yes _____ No

Any prior dishonesty losses _____ Yes _____ No Single Employer Plan _____ Yes _____ No
If yes, attach detailed explanation.

Coverage Limit Requested _____ Effective Date _____

(ERISA requires a bond of 10% of the amount of qualified funds handled for each plan covered.)

ERISA Rates

Bond Amt	3 yr Prepaid	Bond Amt	3 yr Prepaid
\$5,000	\$ 100	\$90,000	\$ 239
\$10,000	\$ 100	\$95,000	\$ 245
\$15,000	\$ 108	\$100,000	\$ 250
\$20,000	\$ 117	\$125,000	\$ 263
\$25,000	\$ 129	\$150,000	\$ 277
\$30,000	\$ 140	\$175,000	\$ 290
\$35,000	\$ 150	\$200,000	\$ 303
\$40,000	\$ 160	\$225,000	\$ 313
\$45,000	\$ 170	\$250,000	\$ 327
\$50,000	\$ 179	\$275,000	\$ 347
\$55,000	\$ 188	\$300,000	\$ 367
\$60,000	\$ 197	\$350,000	\$ 387
\$65,000	\$ 206	\$375,000	\$ 397
\$70,000	\$ 214	\$400,000	\$ 407
\$75,000	\$ 221	\$450,000	\$ 427
\$80,000	\$ 228	\$500,000	\$ 450
\$85,000	\$ 234		

*It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include fines, imprisonment and denial of benefits.

Application completed by _____ Date _____